

Balancing Incentive Program: Data Collection Requirements

Balancing Incentive Program States are required to collect three types of data: service data, quality data linked to population-specific outcomes, and outcomes measures. As part of their Work Plan deliverables, States must report to CMS the data and measures that will be collected and the methodology for collecting those measures.¹ **States may complete this form to fulfill Work Plan requirements.**

State: Pennsylvania

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1. SERVICE DATA

Community LTSS service providers should report to the State all community LTSS services eligible for the Balancing Incentive Program enhanced FMAP an individual receives at the individual level.

1.1. Type of data collected

- ☒ Claims data submitted by community LTSS providers
- ☒ Encounter data submitted by Managed Care Organizations (MCOs)
- ☐ Other: [Click here to enter text.](#)

¹ States will not be required to submit the collected data directly to CMS, though CMS reserves the right to request these data at any time.

1.2. Please briefly describe the types of reports already created or that will be created with these data for community LTSS administrative/financial or quality control purposes.

Report name	Purpose	Frequency	Date of last report*
CMS-64 based on FFS Claims and encounter data	Claims and encounter data are held in the PROMISe system. Data is extracted and reported on the CMS-64 quarterly report.	<input checked="" type="checkbox"/> Quarterly <input type="checkbox"/> Annually <input type="checkbox"/> Ad hoc <input type="checkbox"/> Other: Click here to enter text.	9/30/14
Office of Developmental Programs (ODP) Dashboard for Intellectual Disabilities and Autism	Reports total annual claims (eligible and ineligible, federal/state amounts), service coordination expenditures, and enrollment for each waiver and for ICF/ID recipients.	<input checked="" type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Annually <input type="checkbox"/> Ad hoc <input type="checkbox"/> Other: Click here to enter text.	9/30/14
HealthChoices Behavioral Health Performance Based Contracting Report -2011	Report that included behavioral health service utilization by region, age, and service category (e.g., inpatient, outpatient, residential, ancillary)	<input type="checkbox"/> Quarterly <input type="checkbox"/> Annually <input checked="" type="checkbox"/> Ad hoc <input type="checkbox"/> Other: Click here to enter text.	March 20, 2012

** If reports are not already developed, please input the date when you expect them to be developed.*

1.3. Describe progress and anticipated challenges.

Describe progress toward implementing this measure:

The Service Data Measures listed have already been developed and are currently in place.

Describe experienced or anticipated challenges to implementing this measure:

No challenges have been experienced, nor are any challenges anticipated, in relation to the Service Data Measures.

<i>Describe the State's plan to address the challenges described above:</i>
Not Applicable, as no challenges are expected.

2. QUALITY DATA

Quality data include clinical measures that capture the extent to which service providers are supplying comprehensive, quality care.

2.1. Please briefly describe the instruments used or that will be used to calculate quality measures.

Measure instrument	Population	Frequency of calculation	Date of last calculation*
<input type="checkbox"/> Medicaid Adult Health Quality Measures <input checked="" type="checkbox"/> Homegrown, Name: Service Coordination Monitoring Tool (Office of Long-Term Living) <input type="checkbox"/> Other, Name: Click here to enter text.	<input checked="" type="checkbox"/> Elderly individuals <input type="checkbox"/> People with developmental disabilities <input type="checkbox"/> People with serious mental illness <input checked="" type="checkbox"/> People with physical disabilities <input type="checkbox"/> Other	<input type="checkbox"/> Quarterly <input type="checkbox"/> Annually <input type="checkbox"/> Ad hoc <input checked="" type="checkbox"/> Other: Biannually	Tool still under development – to be launched early 2015
<input type="checkbox"/> Medicaid Adult Health Quality Measures <input checked="" type="checkbox"/> Homegrown, Name: Adult Autism Waiver (AAW)/Adult Community Autism Program (ACAP) Participant Interview Guide for Quality Management <input type="checkbox"/> Other, Name:	<input type="checkbox"/> Elderly individuals <input type="checkbox"/> People with developmental disabilities <input type="checkbox"/> People with serious mental illness <input type="checkbox"/> People with physical disabilities <input checked="" type="checkbox"/> Other: Adults with Autism	<input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Annually <input type="checkbox"/> Ad hoc <input type="checkbox"/> Other: Click here to enter text.	7/31/2014
<input type="checkbox"/> Medicaid Adult Health Quality Measures <input checked="" type="checkbox"/> Homegrown, Name: AAW Provider Agency Monitoring Staff Qualifications Form	<input type="checkbox"/> Elderly individuals <input type="checkbox"/> People with developmental disabilities <input type="checkbox"/> People with serious mental illness	<input checked="" type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Annually <input type="checkbox"/> Ad hoc	2014 (ongoing)

<input type="checkbox"/> Other, Name: Click here to enter text.	<input type="checkbox"/> People with physical disabilities <input checked="" type="checkbox"/> Other: Adults with Autism	<input type="checkbox"/> Other:	
<input type="checkbox"/> Medicaid Adult Health Quality Measures <input checked="" type="checkbox"/> Homegrown, Name: AAW and ACAP Monitoring Tools <input type="checkbox"/> Other, Name: Click here to enter text.	<input type="checkbox"/> Elderly individuals <input type="checkbox"/> People with developmental disabilities <input type="checkbox"/> People with serious mental illness <input type="checkbox"/> People with physical disabilities <input checked="" type="checkbox"/> Other: Adults with Autism	<input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Annually <input type="checkbox"/> Ad hoc <input type="checkbox"/> Other: Quarterly	2014 (ongoing)
<input type="checkbox"/> Medicaid Adult Health Quality Measures <input checked="" type="checkbox"/> Homegrown, Name: Independent Monitoring for Quality (IM4Q) Report <input type="checkbox"/> Other, Name: Click here to enter text.	<input type="checkbox"/> Elderly individuals <input checked="" type="checkbox"/> People with developmental disabilities <input type="checkbox"/> People with serious mental illness <input type="checkbox"/> People with physical disabilities <input type="checkbox"/> Other	<input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Annually <input type="checkbox"/> Ad hoc <input type="checkbox"/> Other: Click here to enter text.	May 2014
<input type="checkbox"/> Medicaid Adult Health Quality Measures <input checked="" type="checkbox"/> Homegrown, Name: Office of Developmental Programs (ODP) Dashboard for Intellectual Disabilities and Autism <input type="checkbox"/> Other, Name: Click here to enter text.	<input type="checkbox"/> Elderly individuals <input checked="" type="checkbox"/> People with developmental disabilities <input type="checkbox"/> People with serious mental illness <input type="checkbox"/> People with physical disabilities <input checked="" type="checkbox"/> Other: Adults with Autism	<input checked="" type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Annually <input type="checkbox"/> Ad hoc <input type="checkbox"/> Other: Click here to enter text.	9/30/14
<input type="checkbox"/> Medicaid Adult Health Quality Measures <input checked="" type="checkbox"/> Homegrown, Name: Program Evaluation Performance Summary (PEPS) Tool <input type="checkbox"/> Other, Name: Click here to enter text.	<input type="checkbox"/> Elderly individuals <input type="checkbox"/> People with developmental disabilities <input checked="" type="checkbox"/> People with serious mental illness <input type="checkbox"/> People with physical disabilities <input type="checkbox"/> Other	<input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Annually <input type="checkbox"/> Ad hoc <input type="checkbox"/> Other: Click here to enter text.	2013
<input type="checkbox"/> Medicaid Adult Health Quality Measures <input checked="" type="checkbox"/> Homegrown, Name: HealthChoices Behavioral Health Performance Tool <input type="checkbox"/> Other, Name: Click here to enter text.	<input type="checkbox"/> Elderly individuals <input type="checkbox"/> People with developmental disabilities <input checked="" type="checkbox"/> People with serious mental illness <input type="checkbox"/> People with physical disabilities <input type="checkbox"/> Other	<input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Annually <input type="checkbox"/> Ad hoc <input type="checkbox"/> Other: Click here to enter text.	9/4/2014

<input type="checkbox"/> Medicaid Adult Health Quality Measures <input checked="" type="checkbox"/> Homegrown, Name: CMS 1915(c) waiver assurances <input type="checkbox"/> Other, Name: Click here to enter text.	<input checked="" type="checkbox"/> Elderly individuals <input checked="" type="checkbox"/> People with developmental disabilities <input type="checkbox"/> People with serious mental illness <input checked="" type="checkbox"/> People with physical disabilities <input checked="" type="checkbox"/> Other: Adults with Autism	<input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Annually <input type="checkbox"/> Ad hoc <input checked="" type="checkbox"/> Other: Adults with Autism-every 3 years	2014
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** If measures have not yet been calculated, please input the date when you expect them to be calculated.*

2.2. Will results be made available to community LTSS providers?

☒ Yes

☐ No

2.3. If so, briefly describe the process for making these results available: Office of Developmental Programs (ODP): Currently in the process of developing information to be shared with the community based on their current assessments, which will be sent out via communications to the community periodically. The reports will also be uploaded to the ODP website for viewing; Office of Mental Health and Substance Abuse Services (OMHSAS): Results of Quality Data Reviews are managed and sent out by the Behavioral Health Managed Care Organizations (BH-MCO); Office of Long Term Living (OLTL): The monitoring tool is only at the pilot stage at this time. OLTL does not foresee any issues with extraction of data once this information is kept at the system level. The Information can be hosted on the OLTL website and summaries will be shared at the OLTL Quality Council Meetings and Sub Committee Meetings of the LTC MAAC; Bureau of Autism Services (BAS): Adult Autism Waiver-The results of the quality data collection is made available on the BAS website; Adult Community Autism Program (ACAP)-BAS does share a summary report of the program monitoring results with the LTSS provider.

2.4. Describe progress and anticipated challenges.

Describe progress toward implementing this measure:

All Assessment Tools, outside of the Service Coordination Monitoring Tool (OLTL), have been developed and are currently in place. The Service Coordination Monitoring Tool is expected to be implemented sometime in early 2015. The tool was developed in collaboration with stakeholders, and is currently in the pilot stage of implementation.

Describe experienced or anticipated challenges to implementing this measure:

No challenges have been experienced, nor are any challenges anticipated, in relation to the Quality Data Measures for any assessment, outside of the Service Coordination Monitoring Tool. The Service Coordination

Monitoring Tool is only in the pilot stage right now, therefore there is no data collection at this point. OLTL anticipates that it will be a challenge to modify the current IT systems to accommodate the tool due to budget constraints.

Describe the State's plan to address the challenges described above:

No challenges are expected for any of the assessment tools, outside of the Service Coordination Monitoring Tool. In order to address the challenges described above, OLTL is currently in the process of working on a project charter to request the funding needed to make the necessary IT changes.

3. OUTCOME MEASURES

States should collect outcomes measures by population to assess beneficiary and family caregiver experience and satisfaction with providers. Data should also be collected regarding activities that help individuals achieve higher quality of life, including employment, participation in community life, health stability, and prevention of loss in function.

3.1 Please briefly describe the instruments used or that will be used to calculate outcomes measures.

Survey instrument	Purpose	Use of sample	Frequency of data collection/analysis	Date of last data collection/analysis*
Service Coordination Monitoring Tool (Office of Long-Term Living)	Assesses satisfaction with services received and service plan, feelings of safety, participation in community activities, hospitalizations, receipt of needed medical appointments, medication adherence, and health/welfare concerns. (Note: tool is currently under development by the Office of Long-Term Living)	<input checked="" type="checkbox"/> 100% <input type="checkbox"/> Representative sample <input type="checkbox"/> Stratified sample <input type="checkbox"/> Other	<input type="checkbox"/> Quarterly <input type="checkbox"/> Annually <input type="checkbox"/> Ad hoc <input checked="" type="checkbox"/> Other: Biannually	TBD, as the tool is currently still in the pilot stage of implementation

	(OLTL))			
Adult Autism Waiver Participant Interview Guide for Quality Management	The form is used, among other things, to assess individual health and welfare, use of medications, hospital admissions, quality of life, and quality of service provision.	<input checked="" type="checkbox"/> 100% <input type="checkbox"/> Representative sample <input type="checkbox"/> Stratified sample <input type="checkbox"/> Other	<input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Annually <input type="checkbox"/> Ad hoc <input type="checkbox"/> Other: Click here to enter text.	Ongoing
IM4Q Report/Essential Data Elements (EDE) Survey Instrument	Measures individual satisfaction with services provided and support (e.g., living arrangements, daytime/work activities, happiness, privacy, respect), assesses the participant's choice and control within different aspects of their lives, relationships with family and friends, and identifies the participant's living environment including physical danger, sanitation problems, physical and or psychological abuse and neglect. (Survey is conducted with individuals with intellectual disabilities, and results are	<input type="checkbox"/> 100% <input type="checkbox"/> Representative sample <input checked="" type="checkbox"/> Stratified sample <input type="checkbox"/> Other	<input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Annually <input type="checkbox"/> Ad hoc <input type="checkbox"/> Other: Click here to enter text.	2012-2013

	reported in the IM4Q Report)			
PEPS Tool	Summarizes compliance with quality assessment and performance improvement, such as follow-up rate after psychiatric hospitalization and 30-day readmission rates	<input checked="" type="checkbox"/> 100% <input type="checkbox"/> Representative sample <input type="checkbox"/> Stratified sample <input type="checkbox"/> Other	<input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Annually <input type="checkbox"/> Ad hoc <input type="checkbox"/> Other: Click here to enter text.	2013
Health Choices Behavioral Health Performance Tool	Summarizes performance indicators based on HEDIS measures, such as consumer satisfaction with services and service plan, and quality or process performance indicators, including admission rates, length of stay, and readmission rates.	<input checked="" type="checkbox"/> 100% <input type="checkbox"/> Representative sample <input type="checkbox"/> Stratified sample <input type="checkbox"/> Other	<input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Annually <input type="checkbox"/> Ad hoc <input type="checkbox"/> Other: Click here to enter text.	9/4/2014

** If data has not yet been collected and analyzed, please input the date when you expect them to be collected and analyzed.*

3.1. Describe progress and anticipated challenges.

Describe progress toward implementing this measure:

All Assessment Tools, outside of the Service Coordination Monitoring Tool (OLTL), have been developed and are currently in place. The Service Coordination Monitoring Tool is expected to be implemented sometime in early 2015. The tool was developed in collaboration with stakeholders, and is currently in the pilot stage of implementation.

Describe experienced or anticipated challenges to implementing this measure:

No challenges have been experienced, nor are any challenges anticipated, in relation to the Outcome Measures Data for any assessment, outside of the Service Coordination Monitoring Tool. The Service Coordination Monitoring Tool is only in the pilot stage, therefore there is no data collection at this point. OLTL anticipates that it will be a challenge to modify the current IT systems to accommodate the tool due to budget constraints.

Describe the State's plan to address the challenges described above:

No challenges are expected for any of the assessment tools, outside of the Service Coordination Monitoring Tool. In order to address the challenges described above, OLTL is currently in the process of working on a project charter to request the funding needed to make the necessary IT changes.