

# Moving Forward with Wisconsin's Quality Long Term Care System

HCBS Conference August 27-31, 2017

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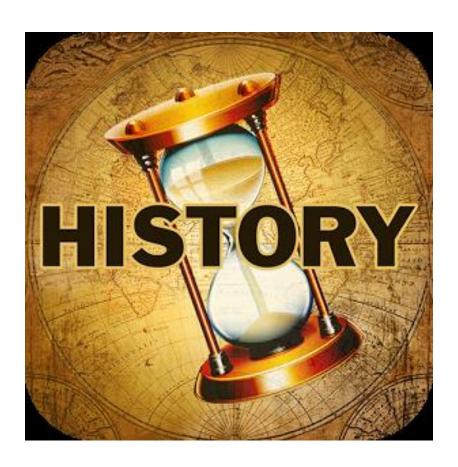


### **Learning Objectives**

- History of Wisconsin's Long term Care Program
- Current State
- Long Term Care Quality Strategy
- Quality Initiatives Improving Consumer Lives



### **WI Long Term Care History**





### Wisconsin in 1980

- 2nd highest nursing home beds per capita
- Nursing home in every small town and multiple in mediumlarge cities
- Three state centers for IDD with over 3,500 residents





### **Community Options Program**

- Established in 1981 as an alternative to institutional care. Piloted in 8 counties in 1982 and statewide in 1986;
- All **State** dollars (no federal)
- Flexible funding source
- Serves all populations
- Duplicated by other states and countries
- But we still have long waiting lists.....





### The COP RESPECT Values

- **R** elationships
- **E** mpowerment to make choices
- **S** ervices to meet individual needs
- P hysical & mental health
- Enhancement of participant reputation
- C ommunity and family participation
- T ools for independence



### **Medicaid HCBS Waivers**

- "Waives" Medicaid rules that only pay for care in institutions to allow funding in the community
- Wisconsin has several waivers related to population served (Federal requirement that populations be separated)
- **1983 CIP 1A** established (serves DD relocating from institution)
- 1985 CIP II established (serves elderly/physical disability)
- 1987 CIP 1B & COP-Waiver established (first serves DD, 2nd serves elderly/phys dis: both as diversion to institutions).
- 1995 Brain Injury Waiver (BIW) established
- But we still had long waiting lists .....



# Redesigning WI LTC Program Family Care Pilot

- Family Care established in 1998
- Family Care benefit piloted in 5 counties
  - Richland, La Crosse, Fond du Lac, Milwaukee (aging only), & Portage
- Key Organizational Components
  - Aging and Disability Resource Centers (ADRCs)
  - Managed Care Organizations (MCOs)
- Full entitlement in pilots counties. No waitlist!



### Family Care/IRIS Expansion

- 2007 Family Care's 1<sup>st</sup> expansion added 2 new counties
- 2008 Self Direction Model added -IRIS (Include, Respect, I Self-Direct)
- 2008 19 counties added
- 2009 22 counties added
- 2010 7 counties added
- 2011 2 counties added
- 2015 7 counties added
- 2016 1 county added
- 2017 5 counties added
- 2018 final 2 counties will be added



### Long-Term Care Enrollment

Long Term Care enrollment as percent of total Medicaid enrollment ≈ 8%

	SFY15 Actuals	SFY16 Actuals	SFY17 Projected					
Nursing Homes/ICF-IID	14,029	13,381	13,173					
Family Care, PACE, Partnership	42,904	46,641	48,983					
IRIS Self- Directed Care	12,060	13,492	14,640					
Adult Legacy Waivers Adult Waitlist	6,117 1,879	3,792 1,746	2,930 1,822					
CLTS Waiver CLTS Waitlist	5,814 2,366	6,059 <b>2,183</b>	6,064 2,596					
Total Enrollees	80,924	83,366	85,791					

# Long-Term Care Expenditures

Long Term Care expenditures as percent of total Medicaid budget = 42%

- SFY = State Fiscal Year
- ICF-IID = Intermediate
   Care Facilities for
   Individuals with
   Intellectual Disabilities

	SFY15	SFY16	SFY17
	Actuals	Actuals	Projected
Nursing Homes/ICF-IID	\$875.3 million	\$833.4 million	\$842.8 million
Family Care, PACE,	\$1.5	\$1.6	\$1.8 billion
Partnership	billion	billion	
IRIS Self- Directed Care	\$337.1 million	\$371.8 million	\$428.1 million
Adult Legacy	\$154.0	\$117.8	\$62.6
Waivers	million	Million	Million
CLTS Waiver	\$70.6 million	\$77.8 million	\$58.0 million
TOTAL	\$2.9	\$3.0	\$3.2
	billion	billion	billion

#### **Examples of Wisconsin's LTC Program Services**

Note: The groups shown are a representative list of services only and are not fully inclusive.

#### **MA Waiver Services**

Supportive Home Care
Home Modifications
Home-Delivered Meals
Lifeline
Assisted Living
Employment

#### **MA LTC Card Services**

Home Health Medical Supplies Nursing Home Personal Care Mental Health Alcohol or Other Drug Treatment

#### **Acute and Primary Medicare or MA**

Emergency Room Visit
Hospitalization
Doctor Visits
Lab Tests
Prescription Drugs
Dental Care

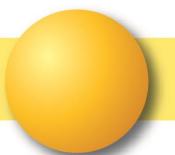
IRIS



Family Care



Partnership/ PACE



ed Through

Accessed Through
Medicare or
Medicaid Card

Accessed Through
Medicare or
Medicaid Card

Accessed Through
Medicare or
Medicaid Card



### **Enrollment Data**

	I/DD #/%		FE #/%		PD #/%		TOTAL	
Family Care	19,858	32%	14,711	23%	10,644	17%	45,213	72%
PACE, Partnership	669	1%	1,434	2%	1,486	2%	3,589	5%
IRIS Self-Directed Care	5,845	9%	3,106	5%	5,580	9%	14,531	23%
TOTAL	26,372	42%	19,251	30%	17,710	28%	63,333	100%

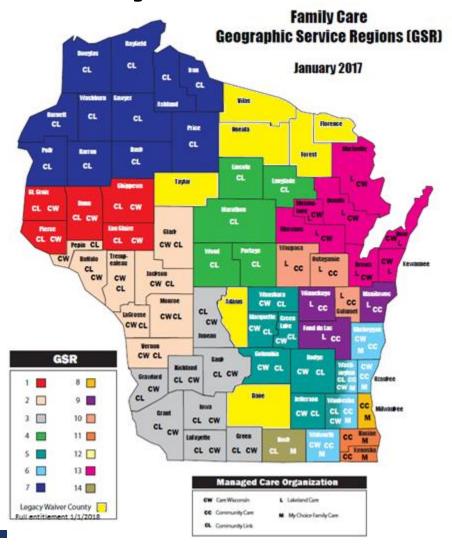


### **ADRCs - Current**



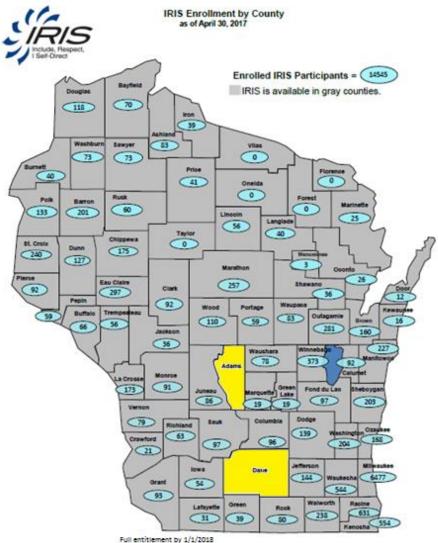


### **Family Care - Current**



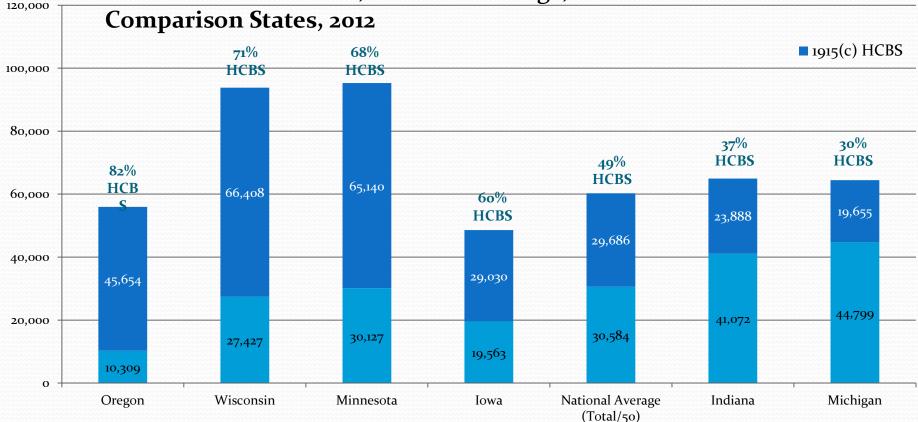


### **IRIS - Current**



<sup>\*</sup>This map reflects participants by their residential county

1915(c) Home and Community Based Services (HCBS) Enrollment and Nursing Facility (NF) & Intermediate Care Facility for Intellectual and Developmental Disabilities (ICF-IDD) Utilization: Wisconsin, National Average, and Selected

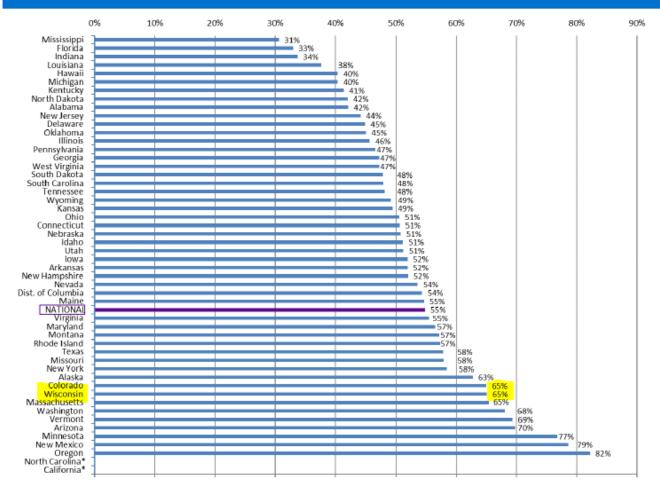


Data from Medicaid Long-Term Services and Supports Beneficiaries in 2012 report at https://www.medicaid.gov/medicaid/ltss/downloads/ltss-beneficiaries-2012.pdf, Tables 2 and A-5, using 1915(c) counts from 372 reports + MAX for Vermont



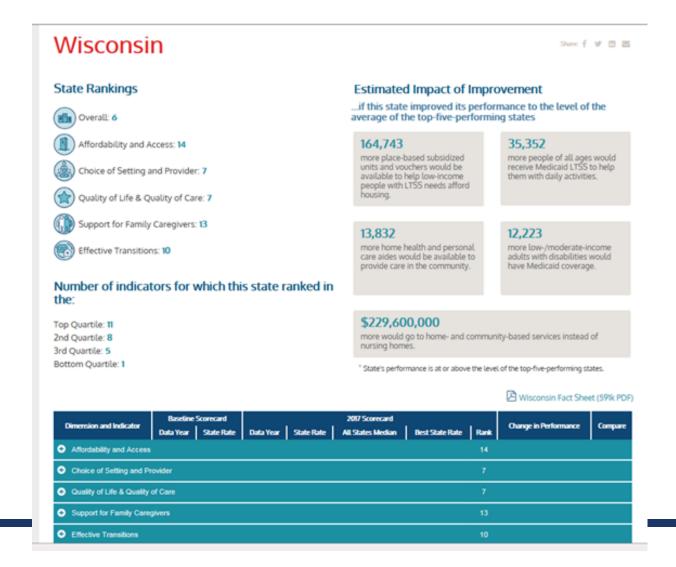
## Ranked 10<sup>th</sup> % - Medicaid HCBS expenditures of total LTSS

Figure 5. Medicaid HCBS Expenditures as a Percentage of Total Medicaid LTSS Expenditures, by State, FY 2015





### **AARP LTSS 2017 Scorecard**





# Entitlement for all target groups!!





### Wisconsin Quality Strategies Improving Consumer Lives





### **Current Vehicles to Achieve**

- Monitoring MCO Financials
- Choice:
  - o Types of Services Available
  - # of Available Providers
  - Multiple MCO/ICA's to select from
- How many people in own homes enrolling into a LTC?
- Have you been denied services?
- Ombudsman Reports
- Member File Reviews
- Participation in Care Plan Process
- Care Team Responsiveness
- Participant Education
- Recurrent Review Process
- # of participants/members who request a new agency
- Program Integrity Protocols
- Certification of MCO/ICA's
- Staff/Caregiver Turnover
- Quarterly Reports on Grievances and Appeals
- EQRO Report
- AQR/AQR Remediation
- NCI Findings

- Trend how many people are leaving Nursing Homes
- Do all providers have the proper credentials/training?
- Functional Screen Accuracy
- LOC assessments are accurate and timely
- Quality Plan and Evaluations
- Do health care providers know how to refer patients to LTC?
- Measurable Marketing Strategies
- Consumer "Did You Know?" Questions
- HCBS community services vs state plan
- Residential vs Non-Residential
- What form of communication do consumers use/prefer (phone, internet, flyer, mail, etc.)
- # of Critical Incidents at agencies
- How many critical incidents could have been avoided?
- # of Immediate Reportables
- Lower # of Critical Incidents
- IFR (Individual File Review) Tracking
- Oversight
- ADRC Counseling with Choices
- Disenrollment/Enrollment
- How long does it take to get care plan approved?
- How many days from referral to initial assessment?

How to address in a comprehensive strategic way...

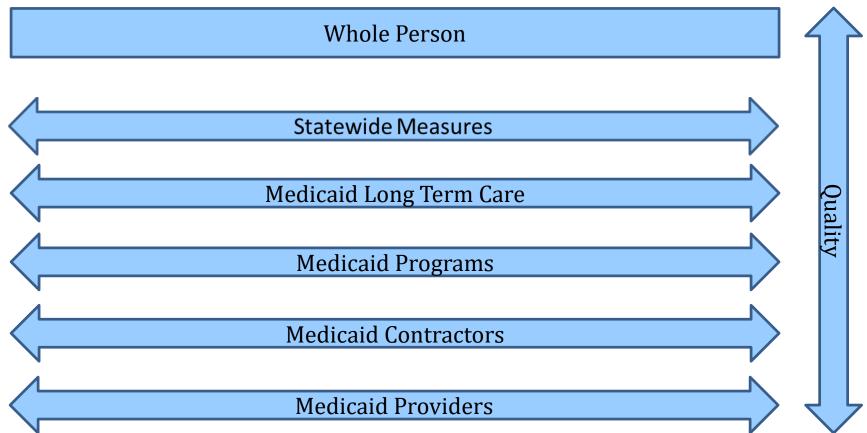


# Comprehensive View of Quality

- Lagging Indicators Measures the outcome of something that has happened. The slide above and most traditional LTC Medicaid measures are lagging indicators. Sometime this is too late....
- Leading Indicators Measures that provide information that may predict a future event. How can we get out ahead? We are focusing on more of these type of predictive measures.

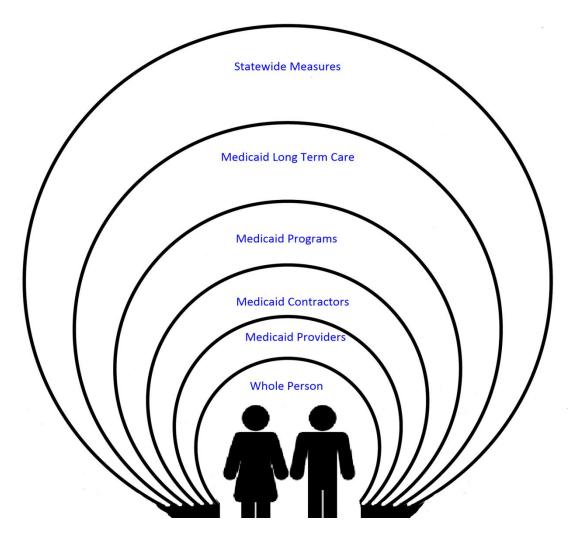


### Quality Strategy for People in Long Term Care





### Quality Strategy for People in Long Term Care





### Whole Person

- Personal preferences and empowerment
- Quality personal care
- Quality medical care
- Quality of life
- Appropriate job if applicable
- Engagement with family & friends
- Meaningful activity and recreation
- Safe, supportive and accessible environment
- Competent caregivers



### **National Quality Measures**



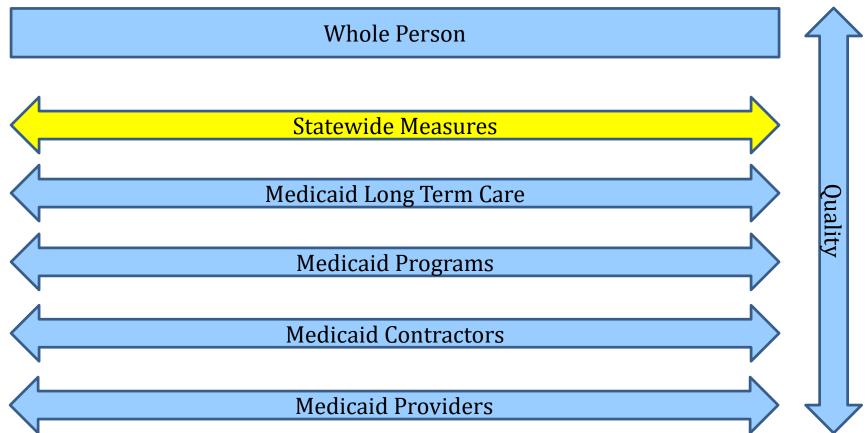


### **Quality Data Sources**

- Enrollment, demographic and functional/Assessment data
- Service utilization, and payment data
- Program and Provider -specific reporting
- Survey data



### Quality Strategy for People in Long Term Care



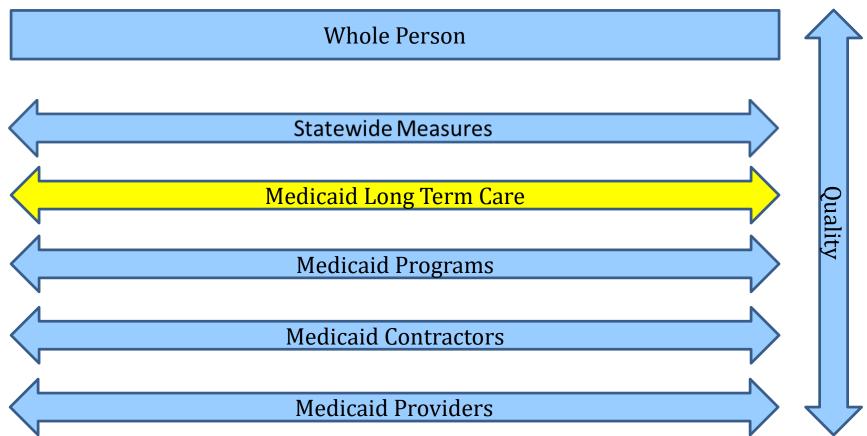


### **Statewide Measures**

- Division of Quality Assurance (DQA) –
   Regulatory Oversight and Collaboration with DMS (sharing of inspection results)
- Division of Care and Treatment Services (DCTS) – IMD utilization, ITP Beds and Crisis coordination



### Quality Strategy for People in Long Term Care





### **Medicaid Long Term Care**

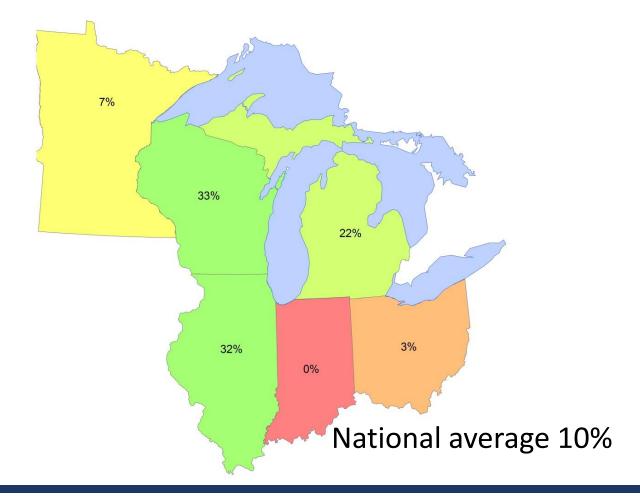
- National Core Indicators for IDD
- National Core Indicators for Elderly and those that are Physically Disabled
- Wisconsin Long Term Care Score Card



### Wisconsin is a Leader in Self-

Self-Direction of Services

Percent of ACS participants who self-directed services





### Wisconsin is a Leader in Self-Direction

- 33% of Wisconsin NCI ACS participants used a self-directed supports option; second among NCI states
- Of Wisconsin NCI ACS participants who selfdirected, large majorities said they could make changes when needed, had enough help making decisions, got information on money left in budget, and information was easy to understand



### **DLTC Scorecard**

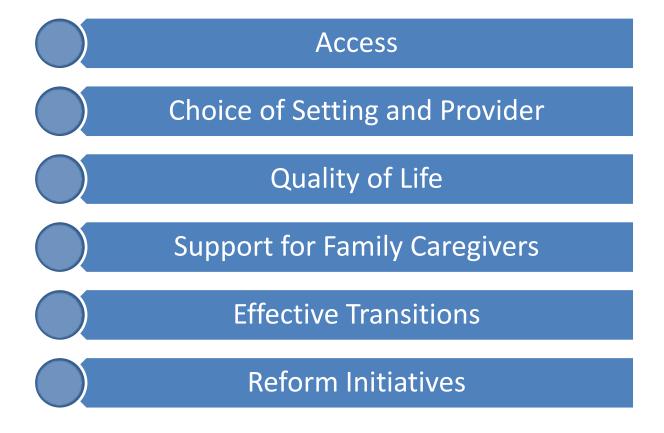
#### The Division of Long Term Care (DLTC) Scorecard

https://www.dhs.wisconsin.gov/publications/p01265.pdf

- Provides information on the strengths and weaknesses in Wisconsin's Long Term Services and Supports (LTSS) system
- Modeled after a national scorecard ranking states on LTSS for elderly and physically disabled adults called <u>Raising Expectations</u> (<a href="http://www.longtermscorecard.org/">http://www.longtermscorecard.org/</a>)
- Includes elderly, physically disabled, and developmentally disabled adults, and also children served by DLTC's programs where possible
- Creates opportunity to track progress over time and inform key initiatives



### **DLTC Scorecard: Dimensions**





### **DLTC Scorecard: Access**

#### **Dimension: Access**

- Indicator 1.1: Percent of eligible adults on a waiting list for long term care programs
- Indicators 1.2.1 and 1.2.2: Percent of LTSS Medicaid funding spent on enrollees in Home and Community Based Waiver (HCBW) programs (adult and child)

1 Acces	SS	2011	2012	2013	2014	Progress
1.1	Percent of eligible adults on waiting list for long-term care programs	6.6%	3.3%	2.9%	2.9%	✓
1.2.1	Percent of total LTSS Medicaid funding spent on the care and support of enrollees in home and community-based waiver (HCBW) - adults	64.6%	65.7%	67.9%	70.2%	✓
1.2.2	Percent of total LTSS Medicaid funding spent on the care and support of enrollees in HCBW - children	90.1%	90.0%	90.5%	91.9%	✓



### **DLTC Scorecard: Choice**

### **Dimension: Choice of Settings and Providers**

- Indicators 2.1.1 and 2.1.2: Percent of eligible Medicaid individuals enrolled in HCBW programs (adult and child)
- Indicator 2.2: Percent of managed long-term care (MLTC) and selfdirected long-term care (SDLTC) waiver enrollees self-directing services

2 Cł	hoic	e of Settings and Providers	2011	2012	2013	2014	Progress
2.	1.1	Percent of eligible Medicaid individuals enrolled in HCBW - adults	71.9%	74.0%	76.4%	78.3%	✓
2.	1.2	Percent of eligible Medicaid individuals enrolled in HCBW - children	95.4%	95.2%	95.4%	95.9%	-
2.	.2	Percent of Managed Long-Term Care (MLTC) and Self-Directed Long-	21.1%	26.2%	34.0%	36.5%	✓
		Term Care (SDLTC) waiver enrollees self directing services					



## **DLTC Scorecard: Quality**

### **Dimension: Quality of Life**

- Indicator 3.1: Percent of adult (age 18-64) enrollees in individuals with intellectual disabilities (IID) population who are working
- Indicator 3.2: Percent of adult HCBW enrollees reporting they prefer to change their living situation
- Indicator 3.3: Percent of adult HCBW enrollees with natural supports

3	3 Quality of Life		2011	2012	2013	2014	Progress
	3.1	Percent of adult (age 18-64) HCBW enrollees in the individuals with	52.0%	50.0%	48.0%	47.0%	×
		intellectual disabilities (IID) population who are working					
	3.2	Percent of adult HCBW enrollees reporting they prefer to change their	12.8%	12.3%	12.1%	11.9%	-
		living situation					
	3.3	Percent of adult HCBW enrollees with natural supports	65.8%	68.3%	69.6%	71.1%	✓



## **DLTC Scorecard: Caregivers**

# Dimension: Support for Families and Other Natural Support Caregivers

- Indicator 4.1: Percent of adults living with family whose family/guardian prefer they move to a different setting
- Indicators 4.2: Percent of adults living with spouse/family receiving unpaid care who also receive respite

4 Sup	port for Families and Other Natural Support Caregivers	2011	2012	2013	2014	Progress
4.1	Percent of adults living with family/spouse wherein family/guardian prefer the person move to another setting	4.6%	4.6%	3.9%	3.9%	<b>✓</b>
4.2	Percent of adults living with spouse/family receiving unpaid care who	13.8%	12.8%	12.5%	12.6%	×
	also receive respite					



### **DLTC Scorecard: Transitions**

#### **Dimension: Effective Transitions**

- Indicator 5.1: Percent of nursing home residents with low care needs
- Indicator 5.2: Percent of new nursing home stays that last 100 days or more
- Indicators 5.3.1 and 5.3.2: Percent of enrollees with dementia experiencing potentially burdensome end of life transfers (nursing home residents and HCBW enrollees)

5 Effect	tive Transitions	2011	2012	2013	2014	Progress
5.1	Percent of nursing home residents with low care needs	11.0%	9.9%	9.4%	8.9%	✓
5.2	Percent of new nursing home stays that last 100 days or more	20.6%	18.9%	18.4%	18.0%	✓
5.3.1	Percent of nursing home residents with dementia that experience potentially burdensome end-of-life transfers			6.9%	6.7%	-
5.3.2	Percent of HCBW enrollees with dementia that experience potentially burdensome end-of-life transfers			11.6%	12.5%	_



### **DLTC Scorecard: Reform**

#### **Dimension: Reform Initiatives**

- Indicators 6.1.1 and 6.1.2: Nursing home utilization and occupancy
- Indicators 6.2.1 and 6.2.2: Intermediate Care Facility utilization and occupancy

6 Refor	m Initiatives	2011	2012	2013	2014	Progress
6.1.1	Nursing Home (NH) Utilization: Percent of Elderly, Blind, and Disabled (EBD) Medicaid enrollees using nursing home care	11.5%	10.8%	10.1%	9.4%	
6.1.2	NH Occupancy: Percent of licensed beds occupied	83.2%	82.0%	80.7%	79.9%	
6.2.1	Intermediate Care Facility Utilization : Percent of IID enrollees using Intermediate Care Facilities for Individuals with Intellectual Disabilities (ICF-IIDs)	2.3%	2.2%	2.1%	1.8%	
6.2.2	ICF-IID Occupancy: Percent of licensed beds occupied	81.6%	85.2%	88.6%	81.4%	



### **DLTC Scorecard: Reform**

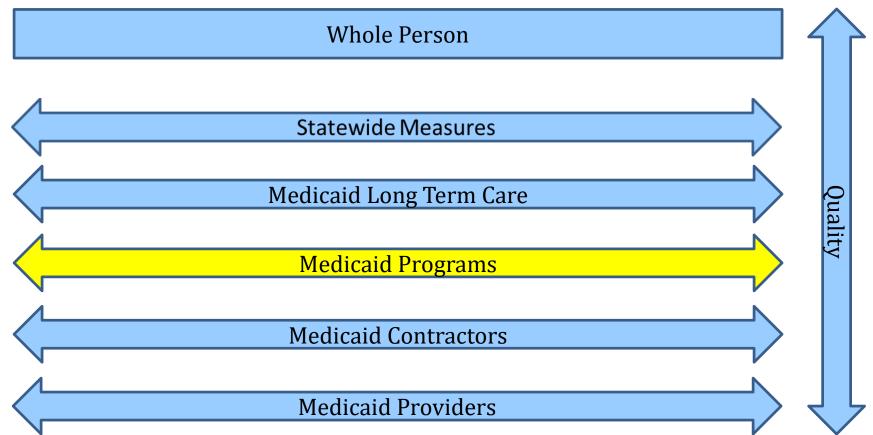
#### **Dimension: Reform Initiatives**

 Indicators 6.3.1-6.3.4: Inpatient behavioral health utilization for adults and children, with adult dementia and child severe emotional disturbance subsets

Refor	m Initiatives	2011	2012	2013	2014	Progress
6.3.1	Inpatient behavioral health utilization: Percent of HCBW enrollees and FFS institutionalized adults using inpatient behavioral health (IPBH) care			1.6%	1.6%	-
6.3.2	Inpatient behavioral health utilization: Percent of HCBW enrollees and FFS institutionalized adults with dementia using IPBH care	•		1.3%	1.3%	-
6.3.3	Inpatient behavioral health utilization: Percent of HCBW enrollees and FFS institutionalized children using IPBH care	•		2.5%	2.7%	-
6.3.4	Inpatient behavioral health utilization: Percent of HCBW enrollees and FFS institutionalized children with SED using inpatient behavioral health			7.7%	8.0%	-



# Quality Strategy for People in Long Term Care





### **Medicaid Programs**

- CMS 372 Report by Programs
  - o Family Care, IRIS, Pace/Partnership
- Consumer Dashboards by Programs
  - o Employment
  - Consumer Satisfaction
  - National Core Indicators (IDD and Elderly & PD)
  - Other Key Metrics



# Wisconsin Quality Goal and Execution

**Example – Consumer Satisfaction** Results & Drivers Lagging Metric Goal Goal High Consumer Satisfaction **Operational Results Operations – Satisfaction Survey –** (lagging indicators) MCO Survey NCI Surveys **Process Drivers Leading Indicators** # of grievances **Leading Metrics** • # of disenrollment's • # of complaints # of critical incidents **Behavior Drivers** Recent CMS 372s



2017

### **Managed Care Quality Plan and Timeline**

ulletBALTCS managers to discuss /survey MCOs VBP and P4P activities that they have used with service providers ullet

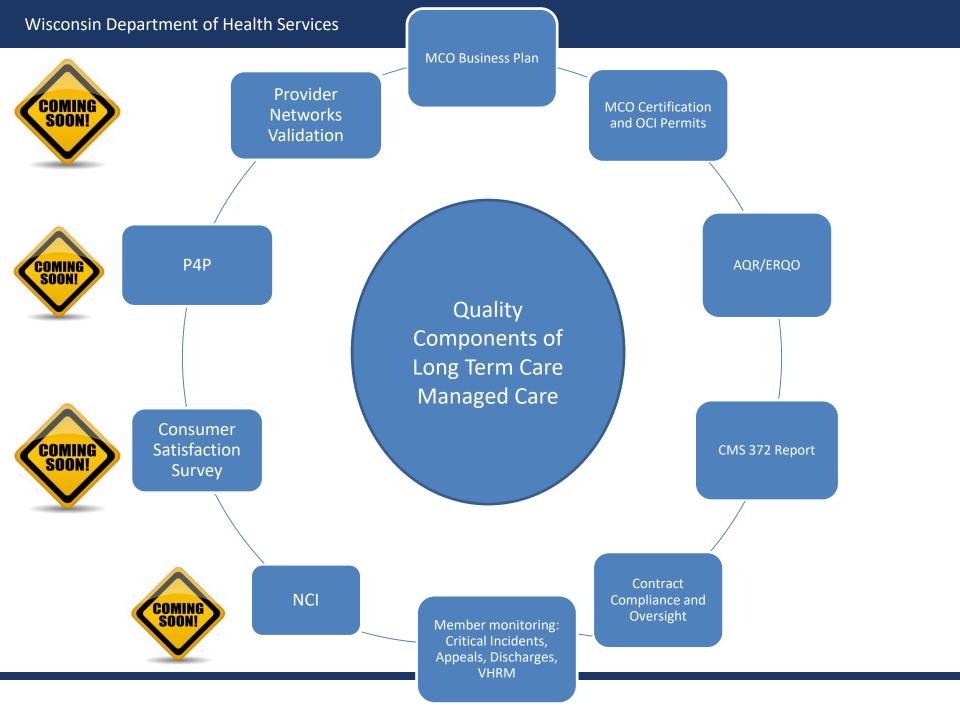
- ulletBALTCS managers will collaborate with DHCAA staff on how they conducted their P4P activities  $\sqrt{}$
- •BALTCS mangers and key staff will develop Quality Strategy that will guide the P4P activities for consideration by AAO, AO, SO
- BALTCS will assign a Project Manager√
- Begin waiver language change review for public comment, stakeholder input, etc.
- Complete Consumer Satisfaction Survey
- Begin benchmarking and the identification of needed data as prescribed by draft quality plan

2018

- Continue benchmarking establishing of additional data and metrics
- Conduct Consumer Satisfaction and collect results
- •Start drafting needed contract changes, possibly pay for reporting or other tools to ensure adequate benchmarking, metrics and reporting mechanisms.
- •Quality Plan submitted to CMS for approval under MCR.
- •Begin waiver language change review for public comment, stakeholder input, etc. for additional P4P

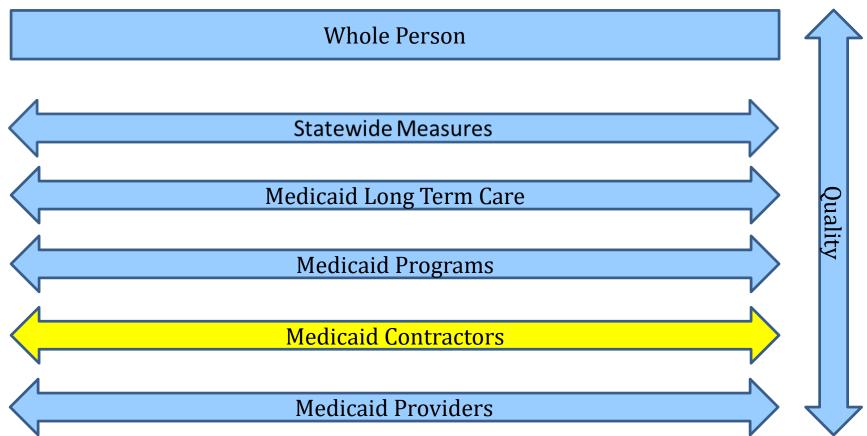
2019-2021

- •Distribute the P4P for 2018 consumer satisfaction survey
- Monitor new contractual requirements as established by Quality Plan and other P4P initiative
- •Continue benchmarking establishing of additional data and metrics
- •Start drafting needed contract changes, possibly pay for reporting or other tools to ensure adequate benchmarking, metrics and reporting mechanisms.
- •Begin waiver language change review for public comment, stakeholder input, etc. for additional P4P





# Quality Strategy for People in Long Term Care





# Contractors – MCOs, ICAs, Counties

- External Quality Reviews
- Meet the requirements of Division of Medicaid Services
- CMS 372 Report
- Quality Strategy
- Performance Improvement Projects (PIPs)
- Accreditation requirements, if applicable
- Partnership Medicare Advantage Quality Requirements
- Satisfaction Surveys
- IMD Rebalancing/Incentive



# Wisconsin's Institution for Mental Disease (IMD) Rebalancing Initiative

A \$3 million dollar state funded initiative to understand and reduce IMD admissions

#### Goals

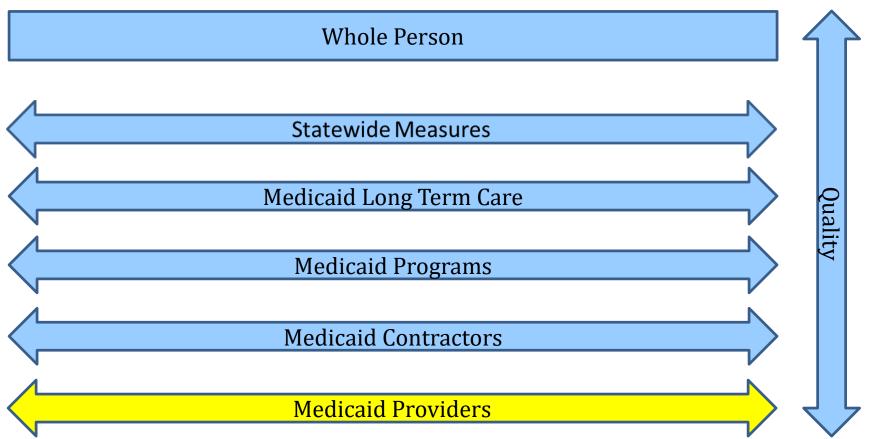
- Collect detailed data on all IMD admissions and discharges
- Encourage MCO innovation and capacity building
- Provide relief to counties for Family Care member Emergency Detentions that result in IMD stays

### Next Steps

- Analyze data and assess qualitative strategies to reduce admissions
- Enhance dialogue and collaborative learning among stakeholders
- Inform best practices and future policies



# Quality Strategy for People in Long Term Care





### **Providers**

- Meet requirements of the MCO or County
- Meet the requirements of DQA and DSPS licensure, if applicable
- Nursing Home Quality Measures & Clinical Resource Center
- Meet the requirements of Wisconsin Coalition for Collaborative Excellence in Assisted Living (WCCEAL), if applicable





https://wcceal.chsra.wisc.edu/



## **Internal Quality Improvement**

- Public/Private Collaboration
- Regulators, Public Funders, Advocates, Provider Associations
- Structure, process and outcome measures used to evaluate quality



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Quality Improvement Organization (QIO)

AHRQ Nursing Home Consumer Assessment of Healthcare

Medicare Quality Improvement Community (QIES)

Quality Assurance Performance Improvement (QAPI)

Wisconsin Coalition for Collaborative Excellence in Assisted

Online Survey, Certification and Reporting (OSCAR)



**Federal Regulations** 

Advancing Excellence

Minimum Data Set

Living (WCCEAL)

**Nursing Home Quality Initiative** 

Providers and Systems (CAHPS)

Nursing Home Compare – 5 Star

**State Regulations** 

# **Government Oversight & Support for**

Qua	lity			
Program	Enforcement	QA & QI	Nursing Home	Assisted Living



## Calendar Year 2016 Highlights

•	Growth continues -	10%	(437 facilities)
•	Members in Good Standing -	86%	
•	High Staff Retention -	65%	

High Staff Immunization Rate - 67%

Low negative outcomes (annual average per thousand resident days)

<u>WCCEAL</u>

0	Falls with injury	0.52
0	Infections – norovirus	80.0
0	Infections – influenza	0.03
0	Hospital Readmissions	0.13



## Calendar Year 2016 Highlights

### High Resident Satisfaction (range 1-5)

0	Overall	4.44
0	Staff	4.41
0	Rights	4.43
0	Environment	4.51
0	Activities	4.26
0	Meals and Dining	4.15
0	Health Management	4.46



### **National Recognition**

2015 – Harvard Innovations in American Government Award Program, *Bright Idea Award* 

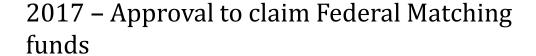


https://ash.harvard.edu/bright-ideas-2015

2016 - Association for Health Facility Survey Agencies, *Promising Practice Award*<a href="http://www.ahfsa.org/annual-conference/promising-practices">http://www.ahfsa.org/annual-conference/promising-practices</a>



2017 – Pioneer Institute, Better Government Competition, Special Recognition Awardee <a href="http://pioneerinstitute.org/better-government-competition/">http://pioneerinstitute.org/better-government-competition/</a>









### WCCEAL

- Can WCCEAL be a "leading indicator" of good quality and high consumer satisfaction?
- We are exploring P4P with MCOs related to Provider networks





# Using Nursing Home Civil Money Penalties to Improve Quality

- Nursing Home Quality Measures and National Clinical Resource Center
- WisCaregiver Career Program
- Wisconsin Music & Memory Program



# Quality Strategy Development Process

- 1. Scan: Existing Measures & Initiatives
- 2. Select Measures from Scan to Use in Overall Strategy
- 3. Add Measures We Need But Don't Have (including IT and contract issues)
- 4. Use Our Measures: P4P and Public Reporting

#### Wisconsin Department of Health Services





Persistence is the twin sister of excellence. One is a matter of quality; the other, a matter of time. ~ Author Unknown.



### **Contact Information**

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